Form CRS PennHaven Brokerage Partners LLC

06/30/26

Introduction	PennHaven Brokerage Partners, LLC ("PennHaven," "we," "our" or "us") is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.
What investment services and advice can you provide me?	PennHaven offers brokerage services and institutional trading solutions for asset managers and RIAs. PennHaven provides agency only equities and listed options trading execution services to customers. We also act as placement agent for private placement offerings to qualified purchasers and accredited institutional and retail investors on behalf of Registered Investment Advisors. On behalf of those Registered Investment Advisor clients, we may open brokerage accounts for such retail investors and/or introduce those retail investors to our clearing broker as a qualified custodian. We do not make investment recommendations to retail investors. Our clients make their own investment decisions. *Questions to ask your Broker:* - *Given my financial situation, should I choose a brokerage service? Why or why not?* - *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*
How will you choose the investments to recommend to me?	PennHaven does not provide investment advice or make recommendations to clients on what type of account to open. Our clients make their own investment decisions.
What fees will I pay?	PennHaven charges a brokerage transaction fee when you buy or sell a security. For private placements, we typically receive compensation based on a percentage of the proceeds paid to the issuer from the sale of securities. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

	Questions to ask your Broker: *- Help me understand how these fees and costs might affect my investments.* *- If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*
What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?	PennHaven does not provide recommendations. Though we do not provide recommendations, we must act in your best interest and not put our interest ahead of you. The way we generate revenue may create some conflicts of interest. We may receive payment for order flow from market makers and other third parties through whom PennHaven executes customer orders. *Questions to ask your Broker:* *How might your conflicts of interest affect me, and how will you address them?*
How do your financial professionals make money	Certain PennHaven Financial Professionals may receive commissions or other compensation related to clients trading activities. PennHaven Financial Professionals also receive salaries and discretionary based bonuses.
Do you or your financial professionals have legal or disciplinary history?	Yes. Please visit https://www.investor.gov/CRS for a free and simple search tool that you can use to research the firm and our financial professionals. *Questions to ask your Broker:* *As a financial professional, do you have any disciplinary history? For what type of conduct?*
Additional Information	For additional information about our services, visit our website [www.pennhavenbp.com], or contact our Chief Compliance Officer Donald O'Hara, at 484.441.6544 or dohara@pennhavenbp.com If you would like additional, up-to-date information or a copy of this disclosure, please call. *Questions to Ask your Broker:* - *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?* - *Who can I talk to if I have concerns about how this person is treating me and my investments?*